

Applicable to Students of the Academic year 2024 and after

**Rules and Regulations for Undergraduate Program Students of the Department of Finance  
of National Chung Cheng University**

|  |  |            |  |  |   |        |             |        |            |        |             |        |
|--|--|------------|--|--|---|--------|-------------|--------|------------|--------|-------------|--------|
| <b>1. Graduation Credits: at least 128 credits in the following categories:</b>  |  |            |  |  |   |        |             |        |            |        |             |        |
| (1) General Education  |  | 28 credits |  |  |   |        |             |        |            |        |             |        |
| (2) Professional compulsory  |  | 59 credits |  |  |   |        |             |        |            |        |             |        |
| (3) Professional elective  |  | 18 credits |  |  |   |        |             |        |            |        |             |        |
| (4) Elective   |  | 23 credits |  |  |   |        |             |        |            |        |             |        |
| <b>2. Category Details:</b>  |  |            |  |  | First year  |        | Second year |        | Third year |        | Fourth year |        |
| <b>(1) General Education: 28 credits</b>   |  |            |  |  | Fall  | Spring | Fall        | Spring | Fall       | Spring | Fall        | Spring |
| A. Chinese and English Proficiency Courses   |  |            |  |  |   |        |             |        |            |        |             |        |
| • General Chinese (4 credits required)   |  |            |  |  | 2   | 2      |             |        |            |        |             |        |
| • General English (4 credits required)   |  |            |  |  | 2   | 2      |             |        |            |        |             |        |
| B. Others:   |  |            |  |  | <ul style="list-style-type: none"> <li>Refer to the "General Education Regulations of National Chung Cheng University" and "Regulations of Physical Education for Bachelor Students of National Chung Cheng University" for more details.</li> <li>Introductory Courses offered by the department and courses in the "List of General Education Courses Not Taken as Credits by the Belonged Department" will not be counted as credits for general education courses.</li> </ul> |        |             |        |            |        |             |        |
| • Choose at least 1 course from each of the following dimensions: Information Competency, Liberal Arts dimensions 1, 2, 3, 4, and 6.         |  |            |  |  |   |        |             |        |            |        |             |        |
| • The remaining credits can be chosen from courses on information skills, introductory courses, or courses in any dimension of liberal arts. |  |            |  |  |   |        |             |        |            |        |             |        |
| C. Social Service Learning Course (Compulsory, 0 credits)  |  |            |  |  |   |        |             |        |            |        |             |        |
| <b>(2) Professional compulsory: 59 credits</b>   |  |            |  |  |   |        |             |        |            |        |             |        |
| Principle of Economics (I)(II) (6 credits)   |  |            |  |  | 3   | 3      |             |        |            |        |             |        |
| Accounting(I)(II) (6 credits)  |  |            |  |  | 3   | 3      |             |        |            |        |             |        |
| Introduction to Business (3 credits)   |  |            |  |  | 3   |        |             |        |            |        |             |        |
| Introduction to Computer (3 credits)   |  |            |  |  | 3   |        |             |        |            |        |             |        |
| Introduction to Finance (1 credits)  |  |            |  |  |   | 1      |             |        |            |        |             |        |
| Calculus (3 credits)   |  |            |  |  |   | 3      |             |        |            |        |             |        |
| An Introduction to Civil Law (2 credits)   |  |            |  |  |   | 2      |             |        |            |        |             |        |
| Commercial Law (2 credits)   |  |            |  |  |   |        | 2           |        |            |        |             |        |
| Microeconomics (3 credits)   |  |            |  |  |   |        | 3           |        |            |        |             |        |
| Statistics (I)(II) (6 credits)   |  |            |  |  |   |        | 3           | 3      |            |        |             |        |
| Financial Management (I)(II) (6 credits)   |  |            |  |  |   |        | 3           | 3      |            |        |             |        |
| Investments (3 credits)  |  |            |  |  |   |        |             | 3      |            |        |             |        |
| Macroeconomics (3 credits)   |  |            |  |  |   |        |             | 3      |            |        |             |        |
| Futures and Options (3 credits)  |  |            |  |  |   |        |             |        | 3          |        |             |        |
| Financial Markets and Institutions (3 credits)   |  |            |  |  |   |        |             |        | 3          |        |             |        |
| Ethics in Finance (3 credits)  |  |            |  |  |   |        |             |        | 3          |        |             |        |
| International Financial Management (3 credits)   |  |            |  |  |   |        |             |        |            | 3      |             |        |

### (3) Professional elective: 18 credits

#### A, Corporate Finance

Financial Theory and Policy (3 credits)  
 Analysis of Financial Statements (3 credits)  
 Financial Forecasts (3 credits)  
 Takeovers Restructuring and Corporate Governance (3 credits)  
 Alternative Investment(3 credits)  
 Sustainable Finance(3 credits)

Intermediate Accounting (I)(II) (6 credits)  
 Cost and Management Accounting (I)(II) (6 credits)  
 Corporation Law (2 credits)  
 Business Valuation (3 credits)  
 Equity Asset Valuation(3 credits)

#### B, Financial institutions and risk management

Risk Management and Insurance (3 credits)  
 Financial Risk Management (3 credits)  
 Bank Management and Financial Technology (3 credits)  
 Introduction to Financial Technology (3 credits)

Insurance Law (2 credits)  
 Investment Banking (3 credits)  
 International Financial Markets (3 credits)  
 Foreign Exchange Markets: Theories and Practices (3 credits)

#### Fund management practice (3 credits)

#### Hedge Fund Strategies (3 credits)

#### C, Investment management and financial

##### innovation

Bond Market (3 credits)  
 Security Analysis (3 credits)  
 International Investments (3 credits)  
 Financial Computer Programming (3 credits)  
 Venture Capital and Private Equity (3 credits)

Security and Exchange Law (2 credits)  
 Portfolio Analysis (3 credits)  
 Big data analysis in finance (3 credits)  
 Alternative Investment(3 credits)  
 Sustainable Finance(3 credits)

#### Fund management practice (3 credits)

#### Hedge Fund Strategies (3 credits)

#### D, Others

Principle of Real Estate (3 credits)  
 Econometrics (I)(II) (6 credits)

Economy of Mainland China (3 credits)

- ※ Other elective courses offered by the department can also be counted as professional elective credits.
- ※ The department's students should take courses to fulfill professional compulsory and professional elective requirements. Application for changes should be submitted to the department office two days before the end of the course registration.

### (4) Elective: 23 credits

- (1) Students can take the professional elective courses offered by the department or professional courses from other departments to fulfill the elective credit requirement.
- (2) For students who do not finish the Education Program, the credits of the education courses can be included in the elective credits.
- (3) Excessive credits of general education courses shall not be counted as elective credits and graduation credits.
- (4) The credits of military training courses, nursing courses, and general education courses offered by the department shall not be included in the elective and graduation credits.

- ※ There are ten programs in the finance department. Students who have received credits from 6 courses in the same program can apply for a certificate of the program.
- ※ Please visit the department website for Course Program Rules and Application Forms.

| Program                             | course   |
|-------------------------------------|--|
| <b>Program in Financial English</b> | 1. At least 4 EMI courses offered by the department, including Financial Management (I), Financial Management (II), Investments, Financial Markets and Institutions, Advanced Corporate Finance, Money and Banking, International Investments, Analysis of Financial Statements, Managerial Economics, Macroeconomics, Sustainable Finance, Alternative Investment. Course not listed above should be consented by the department committee.<br>2. Courses offered by the Language Center. |
| <b>Program in Finance and Law</b>   | Corporation Law, Banking Law, Insurance Law, Law of Bills and Notes,   |

|   |  |
|---|--|
|   | Commodity Exchange Law, Security and Exchange Law, The Law of Trusts, Financial Law, International Financial Law, General Introduction on Tax Law, Maritime Law, Consumer Protection Law, Copyright Law, Fair Trade Law, Basic Labor Standards Law   |
| <b>Program in Finance and Accounting</b>                            | Intermediate Accounting (I)(II), Cost and Management Accounting(I)(II), Advanced Accounting(I)(II), Tax Accounting, Auditing (I)(II), Computer auditing, Accounting Information System, Government Accounting, Public Finance  |
| <b>Program in Finance and Economics</b>                             | Industrial Organization, Production Economics, Foreign Direct Investment, Development Economics, Asia-Pacific Economics, Economy of Mainland China, Public Finance, Practice in International Trade, International Economic Organizations(I)(II), Economic Policy  |
| <b>Program in Finance and Information management</b>                | Introduction to Information Management, System Analysis & Design, Electronic Commerce, Enterprise Resource Planning, Strategic and Information Management, Information Security Management, Datamining and Application, Webpage Design, Customer Relationship Management, Information Systems Controls and Auditing, Database Management   |
| <b>Program in Finance and Business Administration</b>               | Organizational Behavior, Strategic Management, Marketing Management, Human Resource Management, <u>International Enterprise</u> , Consumer Behavior, Business Dynamics, Analysis of Industry and Competition, Leadership, Innovation Management, Humanistic Thinking and Creativity, Brand Management, Social Psychology, International Marketing Management   |
| <b>Program in Corporate Finance</b>                                 | Financial Theory and Policy, Analysis of Financial Statements, Financial Forecasts, Corporation Law, Intermediate Accounting (I)(II), Cost and Management Accounting (I)(II), Takeovers Restructuring and Corporate Governance, Business Valuation, Business Software Application and Design, Alternative Investment, Equity Asset Valuation, Sustainable Finance  |
| <b>Program in Financial institution management and risk control</b> | Risk Management and Insurance, Investment Banking, Insurance Law, International Financial Markets, Bank Management and Financial Technology, Foreign Exchange Markets: Theories and Practices, Financial Risk Management, Introduction to Financial Technology, <u>Fund management practice, Hedge Fund Strategies</u>   |
| <b>Program in Investment Management and Financial Innovation</b>    | Bond Market, Portfolio Analysis, Real Option Analysis, International Financial Markets, Financial Engineering and Innovation, Security and Exchange Law, Financial Forecasts, International Investments, Security Analysis, Financial Risk Management, Foreign Exchange Markets: Theories and Practices, Investment Banking, Big data analysis in finance, Financial Computer Programming, Business Software Application and Design, Venture Capital and Private Equity, Alternative Investment, Sustainable Finance, <u>Fund management practice, Hedge Fund Strategies</u>   |
| <b>Program in financial technology</b>                              | Introduction to Financial Technology, Big data analysis in finance, Bank Management and Financial Technology, Financial Computer Programming, Financial Forecasts, Seminar in Fintech, Electronic Commerce and Global Logistics, Introduction to Management Information Systems, Big Data Analysis with Python (I), Seminar on big data analysis and management, Database Management, Computer auditing, Web Application and Program Design, Accounting Information System, Information Security and Management, Financial Technology Trends and Innovation, Data Structure, Program Design, Datamining and Application, Electronic Commerce, Artificial Intelligence and Expert Systems, Law in Science and Technology, Computer Cryptography and Information Security, Business Data Communication, FinTech Application and Practice, Database Systems, Program Design (I), Program Design (II), Fintech: Market and Service, Business Model Innovation & Fintech, Fintech Topics, Statistical Science, XML and Internet Service, Internet Marketing, Electronic Commerce and Global Logistics |
| ➤   | Students should participate in the academic talks held by the belonged department for more than ten times before graduation  |

- Students of the belonged department must complete the social service learning course (compulsory 0 credits) in accordance with the regulations of the National Chung Cheng University. It is recommended that they complete the study before the end of the junior year, and should actually serve at least 16 hours and participate in at least two service learning series lectures.