

Applicable to Students of the Academic year 2024 and after

Rules and Regulations for Doctoral Program Students of the Department of Finance of National Chung Cheng University

Revised by Department Committee on February 24th, 2023

The Standards are set in accordance with the *Academic Regulations of National Chung Cheng University* and its *Post-graduate Degree Granting Method* as academic reference for doctoral students. Other related regulations that are not included in the Standards shall subject to the university regulations.

- I. This doctoral program requires a minimum 3 years of study and allows a maximum of 7 years
- II. Doctoral students must earn a minimum of 36 credits. The above credits do not include a thesis or any supplementary courses. Students who have completed compulsory courses before enrolling in a doctoral program can apply for an exemption by filling out and submitting the PhD Course Exemption Application Form. The compulsory courses can only be exempted with the approval of the Department Committee and shall be replaced with other elective courses.
- III. Specifications on Course Taking
 - A. Doctoral Program Graduation Credits: 36 credits

(a) Compulsory courses 27 Credits	
<u>Financial Courses</u>	
<u>Seminar in Fintech</u>	3 credits
<u>Capital Market Topics</u>	3 credits
<u>Financial Management</u>	3 credits
<u>Investment Analysis</u>	3 credits
<u>Financial Markets and Institutions</u>	3 credits
*Must pursue the above courses offered by the Department.	
<u>Economic Courses</u>	
Econometrics I	3 credits
Econometrics II OR Financial Econometric and Empirical Research	3 credits
Microeconomics Theory	3 credits
Macroeconomic Theory	3 credits
*Must pursue the above courses offered by the Department of Economics.	
<u>*Foreign students may pursue elective courses offered by Master-Doctor combined Program or Doctoral Programs instead of Microeconomics I and Macroeconomics I.</u>	
(b) Elective Courses 9 Credits	
The elective curriculum should include at least 2 elective courses from the doctoral program of this Department.	
*Taking elective courses from other departments needs to file an application. It can be listed as graduation credits only after the approval of the Department Committee.	

IV. Examination Regulations

Degree Exam

The degree exam is divided into the doctoral candidate qualification exam and the second stage of the thesis defense.

1. Doctoral students may choose either of the schemes below to complete doctoral candidate qualification exam.

Scheme I. Passing doctoral candidate qualification exam through major written exam

- (1) The subjects of 「Capital Market」 and 「Corporate Finance」, through the group meeting, doctoral students decide an examination paper coordinator who invites professors (no limitation to instructors but professors in our Department are the priority) serving as propositions to assign the topics together. The Ph.D. students can take part in the major written exam no more than twice.
- (2) Candidates may apply by May 30th of the year to take part in the major written exam. The major written exam is held two weeks prior to the school start day during the summer holidays. For the exam proceeding method, please read “Implementing Rules of Ph.D. Major Written Exam” set by the Department.

Scheme II. Passing doctoral candidate qualification exam through journal articles

When Doctoral students publish (including being officially accepted) journal articles meeting the “Doctoral Students Journal Article Standards” in Rules and Regulations, students may replace the doctoral candidate qualification written exam with a journal article and apply for passing the doctoral candidate qualification exam. Journal articles can be used by only one person to complete doctoral program rules and regulations; other co-authors need to present consent. Applicants must fill out and submit the “Application Form for Passing Doctoral Candidates Qualification Exam with Journal Article” which the establishment shall be decided by the Department.

2. A thesis defense is composed of a “Preliminary Thesis Defense” and a “Final Thesis Defense”. The result of the Preliminary Thesis Defense shall be recorded in the “Review Form for First Draft of Doctoral Thesis” developed by the Department and shall be sent back to Department Office for archiving. Candidates can apply to take the “Final Thesis Defense” only after passing the Preliminary Thesis Defense.

V. Doctoral Students Journal Article Norms

When doctoral students of the Department publish journal articles under the name of the University during study, the article can be individual work or group work. The co-authors should include professors of the Department. And the article shall be published in the following journals:

(1) Published in foreign journals recognized by the Ministry of Science and Technology as journals in academic disciplines of Finance, Accounting, Economics, or Management Science:

I. Finance Category : Taychang Wang, Yanzhi Wang, Yaomin Jiang, Yening Chen, Yancheng Zhang, Hongren Huang, 「 Finance and Accounting Academic Disciplines Finance Area International Journal Ranking and Ordering Update 」 report(2020). See the attachment “Accounting Journal Ranking 2020.pdf” on Department website or the website below.

II. Accounting Category : Chen-Lung Chin, Taychang Wang, Jia-Ling Lee, Tsing-Zai Wu, Hsiou-Wei Lin, Hung-Chao Yu, “Finance and Accounting Academic Disciplines Accounting Area International Journal Ranking and Ordering Update Plan” Report (2012), of which Rank C journals (in total 26) are excluded. See the attachment “Accounting Journal Ranking 2012.pdf” on Department website or the website below.

III. Economics Category : See the attachment “Economics Journals Ranking 2012.pdf” on Department website. Journals unranked are excluded.

IV. Management Science Category: See the attachment “Taiwan Humanities and Social Sciences Journal Evaluation and Core Journals Record” list (2019), level 1 and level 2 journals in disciplines of economics and management science (TSSCI).

V. Articles in the journals related to finance, accounting, economics and management science that embodied by SSCI or SCI, which cannot publish (be accepted or when publishing) in the journals listed in the Predatory Journals.

VI. Choosing Thesis Advising Professor

Doctoral students should meet the following regulations while choosing thesis advising professor:

1. Choose a thesis advising professor no later than the end of the academic year in which candidate passes the doctoral candidate qualification exam.
2. Choose a thesis advising professor no later than the end of the fourth academic year.

VII. Regulations on the Qualification of Doctoral Candidates

Doctoral students can become doctoral candidates only after achieving the required course credits, passing the Doctoral Candidate Qualification Exam, as well as choosing advising professor.

VIII. Degree Journal Article and Seminar Article Publication Norms

- A. Doctoral students should complete the publication of the following papers before graduation.
 - 1. Publish (including officially accepting) at least one article that meets the “Norms on Doctoral Students’ Journal Articles” set by the Rules and Regulations of the Program. Any journal article for fulfilling the regulations of the doctoral program of the Department can only be applied by one person; other co-authors need to present consent. Students cannot apply the journal article used for the doctoral candidate qualification written exam as a degree journal article except for journals above Rank A (including A+, A, and A-) embodied by academic disciplines of Finance, Accounting, Economics, or Management Science of Ministry of Science and Technology.
 - 2. Publish at least one English article at national or international academic finance-related conferences and serves as the presenter.

- B. Doctoral students must fill out and submit the “Application Form for Passing Degree Journal Article and Seminar Article Publication Norm” which will be decided by the Department Committee to see if it meets the above article publication specifications.

IX. Other Rights and Responsibilities

- A. Doctoral students must attend academic seminars that are required by the Department. Absentees should ask Department Office for a leave in advance and have the Office make it as a record.
- B. When the Department has a curriculum demand, doctoral candidates may be appointed as adjunct lecturers and are required to deliver one course per person and per term. Doctoral candidates may also voluntarily apply for opening a course in the Department, which the Department Committee will decide the employment according to the curriculum demand.

X. Graduation Criteria

Doctoral students who meet the following specifications will be granted to graduate.

- 1. Complete required course and credits within specified years.
- 2. Pass the Financial Fundamental Knowledge Accreditation Exam and Degree Exam.
- 3. Meet the specifications on publication of degree journal articles and seminar articles.
- 4. You must pass any of the following English tests to test and hold a certificate.
 - (1) TOFEL paper test (TOFEL ITP) 527 points or above, or TOFEL computer-based test (TOFEL-CBT) 197 points or above, or TOFEL-iBT 71 points or above.
 - (2) General English Proficiency Test (GEPT) second-round exam of high-intermediate level.
 - (3) TOEIC 750 points or more
 - (4) IELTS 5.5 points or above.

(5) Foreign Language Proficiency Test (FLPT), 240 points or above for the three English written tests.

(6) **Linguaskill CEFR B2 or above**

(7) Cambridge Main Suite, First Certificate in English (FCE) or above.

(8) **BESTEP Listening, Speaking, Reading, Writing CEFR B2 or above.**

(9) College Student English Proficiency Test (CSEPT) Level 2, 330 points or above

(10) Fulfilling the following two requirements: **(i)** Pass at least 1 intermediate-level course offered by the Language Center OR the EMI course offered by Prof. Yuhui Chen in the Department of Business Administration, **AND (ii)** pass at least 2 courses listed in the Finance-English Program. These courses that are counted to fulfill the foreign language ability requirement CANNOT be counted in the graduation credits.

(11) If the academic dissertation is written in English and the seminar paper is published in English by the student, the student then do not need to participate in the English test.

5. Academic Ethics Education Course: The students can study all the online core module courses of academic ethics in the Center for Taiwan Academic Research Ethics Education (<https://ethics.moe.edu.tw/>), and can apply for the degree examination after passing the tests.

XI. The Rules and Regulations of the program are implemented after passing by the Department Committee, same as in revising.